U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/623,434 Filing Date July 17, 2003 TRANSMITTAL First Named Inventor Yuli Zhou **FORM** Group Art Unit 3624 ed for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 74577-060 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences Amendment / Reply Petition to Convert to a Appeal Communication to Group After Final Provisional Application (Appeal Notice, Brief, Reply Brief) Power of Attorney, Revocation Affidavits/declaration(s) **Proprietary Information** Change of Correspondence Extension of Time Request Status Letter Address **Express Abandonment Request** Other Enclosure(s) (please Terminal Disclaimer Information Disclosure Statement identify below): Request for Refund PTO 1449 Form Certified Copy of Priority CD, Number of CD(s) Documents Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John C. Stellabotte, Reg. No. 47,969 PROSKAUER ROSE LLP Individual Name Stellarte Signature April 6, 2004 Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Alexander Migigov Date April 6, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Serial No.: 10/623,434 Attorney Docket No.: 74577-060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Yuli ZHOU et al.

Serial No.

10/623,434 July 17, 2003

Filing Date Entitled

SYSTEM AND METHOD FOR

AUTOMATED TRADING

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56 the Applicants submit herewith a copy of the International Search Report and a PTO-1449 form listing the references cited in the International Search Report. The International Search Report issued in connection with a PCT patent application that is related to the above-identified U.S. patent application.

It is requested that the references cited in the accompanying PTO-1449 form be considered herein. This Information Disclosure Statement is filed within three months of the mailing date of March 26, 2004 of the International Search Report and before the issuance of a first Office Action on the merits, therefore, no fee is required. If any fee is due, please charge Deposit Account 16-2500.

Respectfully submitted PROSKAUER ROSE LLP Attorneys for the Applicant(s)

> John C. Stellabotte Reg. No. 47,969

Date: April 6, 2004

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Enclosures:

International Search Report, PTO-1449



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INFORMATION DISCLOSURE **STATEMENT**

(Use several sheets if necessary)

Attorney Docket No.	Serial No.	
74577-060 10/623,4		
Applicant		
Yuli ZHO	U et al.	
Filing Date	Group Art	
July 17, 2003	Unit	

3624

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number				ber		Date	Name	Class	Sub Class	
	6	1	1	2	1	8	9	8/29/00	Rickard et al.		
	6	0	2	9	1	9	5	2/22/00	Herz		
	4	9	8	0	8	2	6	12/25/90	Wagner		
	5	2	9	7	0	3	2	3/22/94	Trojan et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation		
						Yes	No	
-							-	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Date Considered Examiner

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60: Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.